

**Report to:** Governance and Audit Committee

**Date:** 29 March 2018

**Subject:** **Corporate Risk Register**

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## **1 Purpose of this report**

- 1.1 To provide the Governance and Audit Committee with an update on the corporate risk register.

## **2 Information**

- 2.1 As previously reported to Governance and Audit Committee, work has been undertaken to review and update the Combined Authority's corporate risk management arrangements. Routine consideration of the risk management approach is vital to ensure that the organisation remains proactive in identifying risks and managing these in a timely manner.
- 2.2 The Governance and Audit Committee considered the draft corporate risk management strategy and the corporate risk register at their meeting in January and provided feedback on these. The Combined Authority also held a risk workshop prior to the Combined Authority meeting held on 1st February to consider corporate risk management arrangements and provide feedback on these.
- 2.3 In light of the feedback received further work is in progress to update the risk reporting and escalation processes identified in the corporate risk management strategy, to ensure that these are fully integrated with the requirements of the General Data Protection Regulation (GDPR). This includes ensuring that the role of the Senior Information Risk Owner required under the GDPR is clearly defined in the corporate risk management arrangements.
- 2.4 Following the feedback received on the Corporate Risk Register, this has also been updated to show a separate risk associated with meeting the provisions of the GDPR, rather than showing this as part of a general risk regarding regulatory compliance. Discussions are continuing to take place with the new Data Protection Officer who joined the organisation in mid-February to focus

on ensuring appropriate control measures are in place to proactively manage this risk.

- 2.5 Individual risk workshops are also currently in progress with each Directorate to review and update directorate level risk registers. These workshops are considering the key business priorities for each directorate in the 2018/19 financial year and the potential risks to achieving these priorities. Any strategic risks identified through these workshops which require escalation will be added to the corporate risk register as appropriate.
- 2.6 A copy of the current corporate risk register is provided at **Appendix 1**.

### **3 Financial Implications**

- 3.1 There are no financial implications directly arising from this report.

### **4 Legal Implications**

- 4.1 There are no legal implications directly arising from this report.

### **5 Staffing Implications**

- 5.1 There are no staffing implications directly arising from this report.

### **6 External Consultees**

- 6.1 No external consultations have been undertaken.

### **7 Recommendations**

- 7.1 That the Committee consider any updates required to the corporate risk register.

### **8 Background Documents**

None.

### **9 Appendices**

Appendix 1 - Corporate Risk Register